

This document outlines the supplier quality requirements for Purchase Orders placed by or on behalf of Axon' Cable Inc. The applicability of the requirements shall be as follows:

- AX-1. GENERAL REQUIREMENTS: Applicable to all Purchase Orders.
- AX-2. GENERAL REQUIREMENTS – MANUFACTURERS: Applicable to Purchase Orders where the recipient of the order is the manufacturer of the item(s).
- AX-3. REQUIREMENTS FOR AXON' DESIGNED ITEMS – ASSEMBLIES: Applicable when the item(s) in the order correspond to assemblies governed by an Axon' Cable supplied drawing or specification. The requirements do not apply when the items are Commercial Off the Shelf (COTS Items).
- AX-4. OPTIONAL CODES: Applicable only when explicitly referenced in the Purchase Order.

### AX-1. GENERAL REQUIREMENTS:

- AX-1.1. QUALITY SYSTEMS: Suppliers and suppliers sub-tiers providing products to Axon' Cable Inc. are responsible for maintaining Quality Systems that are compliant to the applicable Axon' Cable Inc. Quality requirements. Suppliers shall be third-party registered and receive periodic system audits by an accredited certification body, or be subject to periodic compliance audits by Axon' Cable Inc. The minimum preferred Quality System Standard is ISO9001; alternate Quality System standards which do not meet the aforementioned requirements must be approved by Axon' Cable Inc.
- AX-1.2. PERSONNEL AWARENESS: The supplier shall ensure that their personnel are aware of their contribution to product or service conformity, product safety, and the importance of ethical behavior.
- AX-1.3. FOREIGN OBJECT DEBRIS (FOD): Appropriate housekeeping practices shall be employed to assure timely removal of residue/debris generated, if any, during manufacturing operations and/or normal daily tasks. The delivered item shall be free of foreign debris.
- AX-1.4. COUNTERFEIT PARTS PREVENTION: Counterfeit parts shall mean a) an unauthorized copy, imitation, substitute, or modified part, which is knowingly misrepresented as a specified genuine part of the manufacturer or b) a previously used part which has been modified and is knowingly misrepresented as new without disclosure to the customer that it has been previously used.
  - AX-1.4.1. The supplier shall represent and warrant that only new and authentic materials with full traceability back to source are used in products required to be delivered to Axon' Cable Inc. and that the supplies delivered contain no Counterfeit Parts.
  - AX-1.4.2. The supplier should have a documented procedure that provides for prior notification and customer approval before parts/components are procured from sources other than those approved by the relevant Original Equipment Manufacturer/Original Component Manufacturer.

AX-1.4.3. The supplier shall notify the purchaser as soon as it becomes aware of any counterfeit supplies or suspected counterfeit supplies which arise in relation to any supplies.

AX-1.4.4. The requirements of this clause shall be flown down to the contractors and suppliers performing under this purchase order on behalf of the Supplier.

AX-1.5. **OBSOLESCENCE MANAGEMENT:** The supplier should develop and implement a policy allowing for advanced notification of any obsolescence risk to any parts procured under the order.

AX-1.6. **CONFLICT MINERALS:** The supplier and its sub-suppliers are required to comply with the US Security Exchange Commission (SEC)'s Conflict Minerals Rule, and any other locally applicable laws and regulations. Any direct sourcing by Supplier (or its sub-suppliers) of tin, tungsten, tantalum and gold shall only be from conflict-free sources, as defined in the SEC's Conflict Minerals Rule. If applicable, the supplier shall be able to produce a CMRT record upon request.

AX-1.7. **RECORD RETENTION:** Unless otherwise specified, records pertaining to the products and services on the Purchase Order shall be retained for a period of not less than 10 years. The supplier shall ensure that Axon' Cable Inc. is notified prior to the disposal of such records.

AX-1.8. **FLOWDOWN OF REQUIREMENTS:** The supplier shall ensure that all applicable requirements are flown down to all sub-tiers suppliers that may be used in executing the requirements of the purchase order.

AX-1.9. **CERTIFICATES OF CONFORMANCE:** A Certificate of Conformance (C of C) shall be provided with each shipment. The C of C can be a separate document, or it can be included as part of the shipping declaration/packing slip text. The following elements shall be present in the Certificate of Conformance:

- Manufacturer part number
- Axon' Cable Inc. part number and, as applicable, part revision
- Standards or specifications applicable
- Purchase order number
- Quantity
- Traceability information, including at least one of the following: date code, inspection lot number, or batch number.
- Manufacturer name
- Statement that the parts conform to the requirements
- Signature by quality representative or authorized company official
- Date of issue
- Shelf-life expiration date (for shelf-life limited materials)

- Serial numbers (if applicable)
- MRB or Waiver number (for accepted deviations)

AX-1.10. **SAFETY DATA SHEETS:** The supplier shall provide current Safety Data Sheets for any chemicals listed on the purchase order.

AX-1.11. **EXPORT COMPLIANCE:** For designs subject to ITAR/EAR regulations, the suppliers shall implement and maintain an appropriate Export Compliance Program. Evidence of compliance may be requested before the transmission of any information subject to ITAR/EAR regulations. Orders containing items may be designated with the clause AX-4.13.

AX-1.12. **NONCONFORMING MATERIAL DISCOVERED AFTER SHIPMENT:** The Supplier shall promptly notify Axon' Cable Inc. when nonconforming product has been shipped. The notification shall include part numbers, design activity, traceability (lot, serial, and manufacturer numbers), ship dates, quantities, and a description of the nonconformance. This applies to any nonconformance that departs from drawing, specifications, or purchase order requirements.

AX-1.13. **ORDER OF PRECEDENCE:** Requirements flown down to the supplier by Axon' Cable Inc. originating from any of its customers shall take precedence over the requirements listed herein. The requirements on this document represent the baseline requirement requested from suppliers; additional requirements may be communicated and addressed before the release of the purchase order. When conflicts between the requirements exist, the following order of precedence shall take place:

- Any drawing, design data or requirement identified as indicated by a customer of Axon' Inc.
- The drawing, design data, or any other approved concession or waiver.
- The requirements of the purchase order.
- The requirements indicated in this document.

AX-1.14. **SHELF LIFE:** Items with limited shelf life shall have at least 50% of their shelf life remaining upon receipt, but not less than 6 months.

AX-1.15. **ENVIRONMENTAL COMPLIANCE:** The supplier shall advise if any items supplied are not fully compliant to REACH, RoHS, and/or California proposition 65, by confirming the nature of the substance involved and its concentration levels found within the affected product.

AX-1.16. **REJECTED ITEMS:** All materials rejected by Axon' Cable Inc. and subsequently resubmitted shall be adequately identified as reworked or resubmitted and include all originally required inspection documentation.

AX-1.17. **ESD SENSITIVE DEVICES:** The supplier shall observe ESD precautions while manufacturing, processing, handling and/or packaging deliverable product for shipment to prevent static damage to the product. Deliverable products subject to ESD controls shall be encased in approved ESD material in accordance with MIL-STD-1686 (or equivalent) during shipment, and the exterior container of each item shall be prominently

marked as containing electrostatic discharge sensitive material. This clause is only applicable to items identified by either the supplier or Axon' Cable Inc. as ESD sensitive.

AX-1.18. **RESTRICTION ON THE USE OF LDPE POLYETHYLENE:** Use of LDPE polyethylene bags (commonly known as "Pink Poly Bags") as the primary protective packaging is prohibited.

### AX-2. GENERAL REQUIREMENTS – MANUFACTURERS

AX-2.1. **RIGHT OF ACCESS:** Axon' Cable Inc. reserves the right of access to any manufacturing facilities and records related to the purchase order, by its representatives, customers, and applicable regulatory authorities.

AX-2.2. **CALIBRATION SYSTEM:** Suppliers shall maintain a documented calibration system. All gauges, equipment, and other test devices used for process acceptance or in-process control shall be calibrated on a predetermined periodic schedule, to a traceable national standard.

AX-2.3. **CONTROL OF SUB-SUPPLIERS:** Suppliers shall control the selection, evaluation, and management of sub-tier suppliers.

AX-2.4. **SPECIAL PROCESSES:** Special Processes are any process for production and service provision where the results cannot be verified by subsequent monitoring or measurement, and as a consequence, deficiencies become apparent only after the product is in use or the service has been delivered. Suppliers and sub-tier suppliers of special processes may require additional approval by Axon' Cable Inc. Suppliers shall be able to produce, upon request, a Certificate of Conformance to the required specifications for any special process performed by either the supplier or any of its sub-tier suppliers. Certificates of Conformance for Special Processes shall include process name, applicable specification, revision in effect, type, class, grade, and name of the special process supplier.

AX-2.5. **INDUSTRY SPECIFICATIONS:** References to established national or industry specifications shall refer to the latest revision of the published standard. References to cancelled standards or specifications shall be reviewed on a case-by-case basis.

AX-2.6. **MATERIALS SUPPLIED BY AXON' CABLE INC:** The supplier shall maintain a procedure for the appropriate identification and preservation of materials supplied by Axon' Cable Inc. Only applicable when Axon' Cable Inc. supplies materials.

AX-2.7. **MRB AUTHORITY:** The supplier shall not exercise Material Review authority without written approval by Axon' Cable Inc. for articles in which Axon' Cable Inc. holds design authority. Axon' Cable Inc. reserves the right to reject the decision of the supplier Material Review Board (MRB). Under no circumstances shall the supplier disposition an article as use-as-is or repair without written approval by Axon' Cable Inc.

Note: for the purposes of this document, the term "repair" refers to the act of restoring functional capability of a defective article in a manner that precludes compliance with applicable drawings or specifications.

AX-2.8. **CORRECTIVE ACTIONS:** A Supplier Corrective Action Request (SCAR) may be issued if nonconforming material is received. The SCAR must include a containment action, a root cause, and a corrective action response.

### AX-3. REQUIREMENTS FOR AXON' DESIGNED ITEMS - ASSEMBLIES

AX-3.1. **CONFIGURATION:** The supplier shall not ship a part manufactured to a revision level other than specified on the purchase order, without the written consent of Axon' Cable Inc. This requirement shall only apply when the revision level is indicated in the Purchase Order.

AX-3.2. **CONTROL OF CHANGES:** There shall be no changes in design, material, manufacturing location, manufacturing equipment, production processes testing methods, unless authorized in writing by Axon' Cable Inc. This level of control shall be flow down to any sub-tiers responsible for any process pertaining to the finished goods. Changes to Commercial Off the Shelf (COTS) parts, and parts where the supplier has design authority require notification of the changes to Axon' Cable Inc., but not a written approval.

AX-3.3. **DEVIATIONS:** Any deviation from specifications, drawings, designs, production processes, or testing methods shall only be accepted with the issue of a signed waiver by Axon' Cable Inc. Suppliers may utilize their own formats to request deviations.

AX-3.4. **FIRST ARTICLE INSPECTION:** When requested by Axon' Cable Inc., First Article Inspection Reports (FAIR) shall be completed according to the requirements of AS9102, latest revision. When Axon' Cable Inc. provides drawings or other technical specifications, the FAIR shall be completed referencing the provided documentation. Manufacturer's drawings and technical information shall only be used for the FAIR when Axon' Cable Inc. doesn't provide them.

AX-3.5. **RAW MATERIAL TRACEABILITY:** The supplier shall maintain traceability of all raw materials used to produce or manufacture the items furnished under this purchase order. When supplier utilizes test reports or certificates to accept supplier purchased raw materials the reports shall be checked 100% against the supplier's requirements and applicable specifications.

Test reports or certificates shall state that the lot of material furnished has been tested, inspected, and found to be in compliance with the applicable material specifications. The report shall list the specifications, including revision numbers or letters, to which the material has been tested and/or inspected and the identification of the material lot, batch, or heat to which it applies.

Axon' Cable Inc. retains the right to request raw material certifications at any point during the record retention period. Specific terms regarding the cost and delivery schedules for raw material certifications shall be negotiated in good faith between the supplier and Axon' Cable Inc. This requirement is not applicable to Commercial Off the Shelf (COTS) items, unless explicitly requested.

AX-3.6. **COMPONENT TRACEABILITY (LOT):** The Supplier of any component assembly shall be able to, upon request, provide a list of all the components utilized, as well as the corresponding lot/date code. Traceability to each individual item within the same lot, date code, or production batch is not required. Non-critical parts such as standard commercial hardware are exempt from this requirement.

### AX-4. OPTIONAL CODES

- AX-4.1. **AGE CONTROL:** The date codes of all components covered by the purchase order shall not be older than 5 years at the time of delivery.
- AX-4.2. **PROHIBITED MATERIALS:** The use of the following materials shall be prohibited.
- 4.2.1.1. **Pure Tin:** The use of pure tin is prohibited. Tin content used in connector materials shall not exceed 97 percent, by mass.
- 4.2.1.2. **Others,** as specified in the Purchase Order.
- AX-4.3. **INSPECTION DATA:** The supplier shall include inspection data that objectively demonstrates conformance to the design characteristics of the items procured under this purchase order, as indicated in the procurement engineering documentation. Objective data may include, as applicable, dimensional reports, metrology reports, electrical test reports, etcetera.
- AX-4.4. **100% INSPECTION:** All items in this Purchase Order shall be inspected 100% for all the drawing requirements. The supplier shall retain records of the inspection results, which shall be made available upon request.
- AX-4.5. **RAW MATERIAL CERTIFICATION:** The supplier shall submit a copy of each raw material manufacturer's test report (e.g., mill test report), that states that the lot of material furnished has been tested, inspected, and found to be in compliance with the applicable material specifications. The test report shall list the specifications, including revision numbers or letters, to which the material has been tested and/or inspected and the identification of the material lot, batch, or heat to which it applies.
- AX-4.6. **SPECIAL PROCESS CERTIFICATION:** The supplier shall submit a copy of each special process performed. Certificates of Conformance for Special Processes shall include process name, applicable specification, revision in effect, type, class, grade, and name of the special process supplier.
- AX-4.7. **SINGLE LOT REQUIREMENT:** All articles from each line item shall be from the same inspection lot and date code of manufacture.
- AX-4.8. **SINGLE RAW MATERIAL LOT:** All articles from each line item shall be manufactured using raw materials from a single lot or heat number.
- AX-4.9. **SERIALIZATION:** All items in this purchase order shall be serialized using best commercial practices.
- AX-4.10. **COMPONENT TRACEABILITY (ITEM):** The Supplier of any component assembly shall be able to, upon request, provide a list of all the components utilized, as well as the corresponding lot/date code. Traceability to each individual item within the same lot, date code, or production batch is required.
- AX-4.11. **RoHS/REACH:** The supplier shall state the RoHS/REACH compliance status of each item (specify):

AX-4.11.1. RoHS Compliant: The articles furnished under this Purchase Order shall be in compliance with the directive RoHS 2015/863/EU and shall not contain any of the listed substances in excess of the Maximum Concentration Value (MCV). A written statement of compliance is required either as part of the Certificate of Conformity or a separate document.

AX-4.11.2. REACH Compliant: The articles furnished under this Purchase Order shall be in compliance with the EU REACH regulation (EC) No 1907/2006. A written statement of compliance is required either as part of the Certificate of Conformity or a separate document, and shall include, as appropriate, the presence of any SHVCs (Substances of Very High Concern) present in the products, and their concentration.

AX-4.12. RATED ORDER: The supplier shall follow the provisions of the Defense Priorities and Allocation System Regulations (15 CFR 700) and is only applicable to U.S. suppliers.

A person must accept or reject a rated order and transmit the acceptance or rejection in writing (hard copy), or in electronic format, within fifteen (15) working days after receipt of a DO rated order and within ten (10) working days after receipt of a DX rated order. If the order is rejected, the person must also provide the reasons for the rejection, pursuant to paragraphs (b) and (c) of this section, in writing (hard copy) or electronic format. If a rated order is placed for the purpose of emergency preparedness requirements and expedited action is necessary or appropriate to meet these requirements and the order includes the statement set forth in §700.12(b), a person must accept or reject the rated order and transmit the acceptance or rejection in writing or in an electronic format within the time specified in the rated order.

The minimum times for acceptance or rejection that such orders may specify are six (6) hours after receipt of the order if the order is issued by an authorized person in response to a hazard that has occurred, or twelve (12) hours after receipt if the order is issued by an authorized person to prepare for an imminent hazard.

If a person has accepted a rated order and subsequently finds that shipment or performance will be delayed, the person must notify the customer immediately, give the reasons for the delay, and advise of a new shipment or performance date. If notification is given verbally, written (hard copy) or electronic confirmation must be provided within one working day of the verbal notice.

AX-4.13. ITAR/EAR Items: *These items are controlled by the U.S. Government and authorized for export only to the country of ultimate destination for use by the ultimate consignee or end-user(s) herein identified. They may not be resold, transferred, or otherwise disposed of, to any other country or to any person other than the authorized ultimate consignee or end-user(s), either in their original form or after being incorporated into other items, without first obtaining approval from the U.S. government or as otherwise authorized by U.S. law and regulations.*

AX-4.14. SPECIALTY METALS: The items furnished under this Purchase Order are subject to the restrictions of DFARS 252.225-7009, as specified in Annex 1.

AX-4.15. CUSTOMER SPECIFIC FLOW DOWN: The supplier shall verify and acknowledge an attached Customer Specific Flow Down, which is additional to any Supplier Quality Clauses required by Axon' Cable Inc.



## SUPPLIER QUALITY CLAUSES

AX-4.16. AXON INTERNAL ONLY – AUTHORIZATION FOR MATERIAL CERTIFICATION:  
This Purchase Order has been approved by an Authorizing Officer for the release of Raw Material Certification according to AX-4.5. The customer's name, customer's Purchase Order number, and proof of authorization should accompany the Purchase Order.